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An Ordinal-Free Proof of the Cut-elimination Theorem for a Subsystem of  $\Pi^1_1$ -Analysis with  $\omega$ -rule (Proof theoretical study of the structure of logic and computation)

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# An Ordinal-Free Proof of the Cut-elimination Theorem for a Subsystem of $\Pi_1^1$ -Analysis with $\omega$ -rule

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## 概要

The aim of this paper is to sketch our ideas of a simple ordinal-free proof of the cut-elimination theorem for a subsystem of  $\Pi_1^1$ -analysis with  $\omega$ -rule.

The aim of this paper is to sketch our ideas of a simple ordinal-free proof of the cut-elimination theorem for a subsystem of  $\Pi_1^1$ -analysis with  $\omega$ -rule.

The motivation is that use of heavy ordinal notation systems sometimes obscures our intuitive understanding of cut-elimination theorems. In the case of predicative systems, it is easy to understand why the cut-elimination procedure terminates. For example, the proof of the cut-elimination theorem for  $PA$  with  $\omega$ -rule proceeds by induction on cut-degree. But the matter is not very transparent in the case of impredicative systems. Our proof of the cut-elimination theorem for a subsystem of  $\Pi_1^1$ -analysis with  $\omega$ -rule proceeds just by transfinite induction on the height of a derivation. Moreover our proof involves only reasoning about well-founded trees.

The present paper consists of 5 sections. After recalling basic definitions in section 1, we introduce infinitary systems  $BI_0^\Omega$ ,  $BI_1^\Omega$  (section 2).  $BI_0^\Omega$  is just cut-free arithmetic with  $\omega$ -rule and Mints's "Repetition Rule".  $BI_1^\Omega$  is obtained by adding cut-rule, a rule for second-order universal quantifier, and Buchholz's  $\Omega$ ,  $\tilde{\Omega}$ -rules to  $BI_0^\Omega$ . In section 3 we define operators  $\mathcal{R}$ ,  $\mathcal{E}$ , and  $\mathcal{E}_\omega$  on derivations in  $BI_1^\Omega$ . Moreover we define the collapsing operator  $D_0$  which eliminates  $\tilde{\Omega}_{\neg\forall X A}$ . Finally we define the substitution operator  $S_T^X$ .

In section 4 we introduce  $BI_1^-$ , which is a subsystem of  $\Pi_1^1$ -analysis.  $BI_1^-$  is obtained by adding  $R_A, E, E_\omega, D_0, Sub_T^X$ . These rules correspond to operations  $\mathcal{R}, \mathcal{E}, \mathcal{E}_\omega, D_0$ , and  $S_T^X$  respectively. The idea of introducing these

devices is due to Buchholz[Buc91] to give a finite term rewriting system for continuous cut-elimination.

In section 5 we sketch our ideas of an ordinal-free proof of the cut-elimination theorem for  $\text{BI}_1$ . We define an embedding map  $g$  from derivations in  $\text{BI}_1$  into the derivations in  $\text{BI}_1^\Omega$  (5.1). Next we define for each derivation  $d$  in  $\text{BI}_1$  functions  $tp(d)$  and  $d[i]$  (5.2). Finally we explain our ideas of an ordinal-free proof of the cut-elimination theorem for  $\text{BI}_1$  (6.3). Our main observation is that  $g(r(d))$  is a proper subderivation of  $g(d)$  if  $r(d)$  can be obtained from  $d$  by the proof-theoretic reduction for derivations in  $\text{BI}_1$ :

$$\begin{array}{ccc} \text{BI}_1 : d & \xrightarrow{\text{red}} & r(d) \\ g \downarrow & & g \downarrow \\ \text{BI}_1^\Omega : g^*(d) & \xrightarrow{>} & g^*(r(d)) \end{array}$$

where  $g^*(d) > g^*(r(d))$  means that the height of  $g^*(d)$  is strictly less than the height of  $g^*(r(d))$ . Therefore the cut-elimination theorem for  $\text{BI}_1$  is proved by transfinite induction on  $|d|$  (the height of  $d$ ).

## 1 Preliminaries

First we define a language  $L$  which is the formal language of all systems considered below.

**Definition 1** *Language  $L$*

1. 0 is a term.
2. If  $t$  is a term, then  $S(t)$  is a term.
3. If  $R$  is an  $n$ -ary predicate symbol for an  $n$ -ary primitive recursive relation, and  $t_1, \dots, t_n$  are terms, then  $R(t_1, \dots, t_n)$  is a formula. If  $X$  is unary predicate variable, and  $t$  is a term, then  $X(t)$  is a formula. These formulas are called *atomic formulas*.
4. If  $A$  is an atomic formula, then  $\neg A$  is a formula.  $A$  and  $\neg A$  where  $A$  is atomic are called *literals*.
5. If  $A$  and  $B$  are formulas, then  $A \wedge B$ ,  $A \vee B$  are formulas.
6. If  $A(0)$  is a formula, then  $\forall x A(x)$ , and  $\exists x A(x)$  are formulas.
7. If  $A$  is formula, and  $A$  does contain no second order quantifier and no predicate variable except  $X$ , then  $\forall X A$  and  $\exists X A$  are formulas.

If  $A$  is a formula which is not atomic, then its *negation*  $\neg A$  is defined using De Morgan's laws. The set of true literals is denoted as TRUE.  $T$  denotes an expression  $\lambda x.A$  where  $A(0)$  is a formula (called *abstraction*). Formulas which does not contain any second order quantifier are called *arithmetical*.

**Remark 1** By the restriction,  $A(X)$  is arithmetical if  $\forall X A(X)$ , or  $\exists X A(X)$  is a formula.

**Definition 2**  $rk(A)$

1.  $rk(A) := 0$  if  $A$  is a literal,  $\forall X A(X)$ , or  $\exists X A(X)$ .
2.  $rk(A \wedge B) := rk(A \vee B) = \sup(rk(A), rk(B)) + 1$ .
3.  $rk(\forall x A(x)) := rk(\exists x A(x)) = rk(A(0)) + 1$ .

**Remark 2** We remark that  $rk(A) = 0$  if  $A$  is  $\forall X A(X)$ , or  $\exists X A(X)$ .

## 2 The Systems $BI_0^\Omega$ , $BI_1^\Omega$

We define  $BI_0^\Omega$ ,  $BI_1^\Omega$  using Buchholz's notation in [Buc01]. Only the *minor formulas* which occur in the premises of the rules, and the *principal formulas* which occur in the conclusions of the rules are explicitly shown. Any rule below is supposed to be closed under weakening, and contains contraction.

Let  $I$  be an inference symbol of a system. Then we write  $\Delta(I)$ , and  $|I|$  in order to indicate the set of principal formulas of  $I$ , and the index set of  $I$  as in [Buc01], respectively. Moreover,  $\bigcup_{i \in |I|} (\Delta_i(I))$  denotes the set of the minor formulas of  $I$ . If  $d = I(d_i)_{|I|}$ , then  $d_i$  denotes the subderivation of  $d$  indexed by  $i$ . If  $d$  is a derivation,  $\Gamma(d)$  denotes its last sequent. Eigenvariables may occur free only in the premises, but not in the conclusions.

**Definition 3** The systems  $BI_0^\Omega$ ,  $BI_1^\Omega$

The inference symbols of  $BI_0^\Omega$  are

$$\begin{aligned}
 & (Ax_\Delta) \overline{\Delta} \text{ where } \Delta = \{A\} \subseteq \text{TRUE or } \Delta = \{C, \neg C\} \\
 & (\bigwedge_{A_0 \wedge A_1}) \frac{A_0 \quad A_1}{A_0 \wedge A_1} \quad (\bigvee_{A_0 \vee A_1}^k) \frac{A_k}{A_0 \vee A_1} \text{ where } k \in \{0, 1\} \\
 & (\bigwedge_{\forall x A}) \frac{\dots A(x/n) \dots \text{ for all } n \in \omega}{\forall x A} \quad (\bigvee_{\exists x A}^k) \frac{A(x/k)}{\exists x A} \text{ where } k \in \omega
 \end{aligned}$$

$$(Rep) \frac{\phi}{\phi}$$

The inference symbols of  $BI_1^\Omega$  are obtained by adding the following inference symbols to those of  $BI_0^\Omega$ .

$$(Cut_A) \frac{A \quad \neg A}{\phi} \quad (\wedge_{\forall X A}^Y) \frac{A(Y)}{\forall X A} \text{ where } Y \text{ is an eigenvariable}$$

$$(\Omega_{\neg \forall X A}) \frac{\dots \Delta_q^{\forall X A(X)} \dots (q \in |\forall X A(X)|)}{\neg \forall X A}$$

$$(\tilde{\Omega}_{\neg \forall X A}^Y) \frac{A(Y) \dots \Delta_q^{\forall X A(X)} \dots (q \in |\forall X A(X)|)}{\phi} \text{ where } Y \text{ is an eigenvariable}$$

with

1.  $\Delta_{(d,X)}^{\forall X A(X)} := \Gamma(d) \setminus \{A(X)\}$ ,
2.  $\Gamma(d)$  is arithmetical,
3.  $|\forall X A(X)| := \{(d.X) \mid d \in BI_0^\Omega, X \notin FV(\Delta_{(d,X)}^{\forall X A(X)})\}$ , and
4.  $q = (d, X)$ .

### 3 Cut-elimination Theorem for $BI_1^\Omega$

**Definition 4**  $dg(I), dg(d)$

Let  $I$  be an inference symbol, and  $d$  be a derivation in  $BI_1$ . Then  $dg(I)$ , and  $dg(d)$  are defined by

1.  $dg(I) := rk(C) + 1$  if  $I = Cut_C$ .
2.  $dg(I) := 0$  otherwise.
3.  $dg(I(d_\tau)_{\tau \in |I|}) := \sup(\{dg(I)\} \cup \{dg(d_\tau) \mid \tau \in |I|\})$ .

We write  $d \vdash_m \Gamma$  if  $\Gamma(d) = \Gamma$ , and  $dg(d) \leq m$ . Then we can prove the following theorems.

**Theorem 1** *There exists an operator  $\mathcal{R}_C$  on derivations in  $BI_1^\Omega$  such that*

*If  $d_0 \vdash_m \Gamma, C$ ,  $d_1 \vdash_m \Gamma, \neg C$ , and  $rk(C) \leq m$ , then  $\mathcal{R}_C(d_0, d_1) \vdash_m \Gamma$ .*

**Theorem 2** *There is an operator  $\mathcal{E}$  on derivations in  $BI_1^\Omega$  such that*

*If  $d \vdash_{m+1} \Gamma$ , then  $\mathcal{E}(d) \vdash_m \Gamma$ .*

**Theorem 3** *There is an operator  $\mathcal{E}_\omega$  on derivations in  $BI_1^\Omega$  such that*

*If  $d \vdash_\omega \Gamma$ , then  $\mathcal{E}_\omega(d) \vdash_0 \Gamma$ .*

**Theorem 4** *There is an operator  $\mathcal{D}_0$  on derivations in  $BI_1^\Omega$  such that*

*If  $d \vdash_0 \Gamma$ , and  $\Gamma$  is arithmetical, then  $BI_0^\Omega \ni \mathcal{D}_0(d) \vdash \Gamma$ .*

**Corollary 1** *If  $d \in BI_1^\Omega$  and  $\Gamma(d)$  is arithmetical, then there exists  $d'$  such that  $d' \in BI_0^\Omega$ .*

**Theorem 5** *There is an operator  $\mathcal{S}$  such that*

*If  $BI_0^\Omega \ni d \vdash \Gamma$ , then  $BI_0^\Omega \ni \mathcal{S}_T^X(d) \vdash \Gamma[X/T]$ .*

## 4 The Systems $BI_1^-$ , $BI_1$

We define  $BI_1^-$ ,  $BI_1$ . Eigenvariables may occur free only in the premises, but not in the conclusions.

**Definition 5** *The systems  $BI_1^-$ ,  $BI_1$*

The inference symbols of  $BI_1^-$  are

$$(\text{Ax}_\Delta) \overline{\Delta} \text{ where } \Delta = \{A\} \subseteq \text{TRUE or } \Delta = \{C, \neg C\}$$

$$(\bigwedge_{A_0 \wedge A_1}) \frac{A_0 \quad A_1}{A_0 \wedge A_1} \quad (\bigvee_{A_0 \vee A_1}^k) \frac{A_k}{A_0 \vee A_1} \text{ where } k \in \{0, 1\}$$

$$(\bigwedge_{\forall x A}) \frac{\dots A(x/n) \dots \text{ for all } n \in \omega}{\forall x A} \quad (\bigvee_{\exists x A}^k) \frac{A(x/k)}{\exists x A} \text{ where } k \in \omega$$

$$(\bigwedge_{\forall X A}^Y) \frac{A(Y)}{\forall X A} \text{ where } Y \text{ is an eigenvariable} \quad (\bigvee_{\neg \forall X A}^T) \frac{\neg A(X/T)}{\neg \forall X A}$$

$$(\text{Cut}_A) \frac{A, \neg A}{\phi}$$

The inference symbols of  $\text{BI}_1$  are obtained by adding the following inference symbols to those of  $\text{BI}_1^-$ .

$$(R_A) \frac{C \quad \neg C}{\phi} \quad (E) \frac{\phi}{\phi}$$

$$(E_\omega) \frac{\phi}{\phi} \quad (D_0) \frac{\phi}{\phi}$$

$$(Sub_T^X) \frac{\Gamma}{\Gamma[X/T]}$$

**Remark 3** These rules  $E, E_\omega, D_0, Sub_T^X, R_C$  correspond to the operations  $\mathcal{E}, \mathcal{E}_\omega, \mathcal{D}_0, \mathcal{S}_T^X, \mathcal{R}_C$  in the previous section.

## 5 Cut-elimination Theorem for $\text{BI}_1$

In this section, we sketch our idea of an ordinal-free proof of the cut-elimination theorem for  $\text{BI}_1$  using one for  $\text{BI}_1^\Omega$ .

We will define an embedding function  $g$  from derivations in  $\text{BI}_1$  into the derivations in  $\text{BI}_1^\Omega$  (5.1). Next we define functions  $tp(d)$ ,  $d[i]$  where  $d$  is a derivation in  $\text{BI}_1$  (5.2). Finally we explain our idea of an ordinal-free proof of the cut-elimination theorem for  $\text{BI}_1$  (5.3).

### 5.1 Interpretation of $\text{BI}_1$ in $\text{BI}_1^\Omega$

**Definition 6** *Embedding function  $g$*

Let  $d$  be a derivation in  $\text{BI}_1$ . Then we define the function  $g$  by induction on  $d$  as follows.

1.  $g(\text{Ax}_\Delta) := \text{Ax}_\Delta$ .
2.  $g(\bigwedge_{A_0 \wedge A_1}(d_0, d_1)) := \bigwedge_{A_0 \wedge A_1}(g(d_0), g(d_1))$ .
3.  $g(\bigvee_{A_0 \vee A_1}^k(d_0)) := \bigvee_{A_0 \vee A_1}^k(g(d_0))$ .
4.  $g(\bigwedge_{\forall xA}(d_n)_{n \in \omega}) := \bigwedge_{\forall xA}(g(d_n))_{n \in \omega}$ .
5.  $g(\bigvee_{\exists xA}^k(d_0)) := \bigvee_{\exists xA}^k(g(d_0))$ .
6.  $g(\bigwedge_{\forall XA}(d_0)) := \bigwedge_{\forall XA}(g(d_0))$ .
7.  $g(\bigvee_{\neg \forall XA}^T(d_0)) := \Omega(\mathcal{R}_{A(T)}(\mathcal{S}_T^X(d_q), g(d_0)))_{q \in |\forall XA(X)|}$  where  $(d_q, X) = q \in |\forall XA(X)|$ .

8.  $g(Cut_C(d_0, d_1)) := Cut_C(g(d_0), g(d_1))$ .
9.  $g(E(d_0)) := \mathcal{E}(g(d_0))$ .
10.  $g(E_\omega(d_0)) := \mathcal{E}_\omega(g(d_0))$ .
11.  $g(D_0(d_0)) :=$ 
  - (a)  $\mathcal{D}_0(g(d_0))$  if  $g(d_0)$  satisfies the conditions in the collapsing theorem.
  - (b)  $g(d_0)$  otherwise.
12.  $g(Sub_T^X(d_0)) :=$ 
  - (a)  $\mathcal{S}_T^X(g(d_0))$  if  $g(d_0)$  satisfies the conditions in the substitution theorem.
  - (b)  $g(d_0)$  otherwise.
13.  $g(R_C(d_0, d_1)) := \mathcal{R}_C(g(d_0), g(d_1))$ .

**Remark 4**

1. Let  $d = \bigvee_{\neg \forall X A(X)}^T(d_0)$ . Then  $g(d)$  is the following derivation:

$$\frac{\frac{\frac{\vdots}{\Delta_q, A(X)} \mathcal{S}_T^X}{\Delta_q, A(T)} \quad \Gamma, \neg A(T), \neg \forall X A(X)}{\dots \Gamma, \Delta_q, \neg \forall X A(X) \dots} \mathcal{R}_{A(T)} \quad \Omega$$

2.  $g$  replaces rules  $E, E_\omega, D_0, Sub_T^X, R_C$  by the corresponding operations  $\mathcal{E}, \mathcal{E}_\omega, \mathcal{D}_0, \mathcal{S}_T^X, \mathcal{R}_C$  respectively. But it preserves  $Cut_C$  :  $g(Cut_C(d_0, d_1)) = Cut_C(g(d_0), g(d_1))$ .

**Definition 7**  $dg(d)$

Let  $d$  be a derivation in  $BI_1$ . Then  $dg(d)$  is defined by

1.  $dg(d) := \max(rk(A(T)), dg(d_0))$  if  $I = \bigvee_{\neg \forall X A(X)}^T$ .
2.  $dg(d) := \max(rk(C) + 1, dg(d_0), dg(d_1))$  if  $I = Cut_C$ .
3.  $dg(d) := dg(d_0) - 1$  if  $I = E$ .
4.  $dg(d) := 0$  if  $I = E_\omega$ .



5.  $dg(d) := \max(rk(C), dg(d_0), dg(d_1))$  If  $I = R_C$ .
6.  $dg(I(d_\tau)_{\tau \in |I|}) := \sup\{dg(d_\tau) | \tau \in |I|\}$  otherwise.

We write  $d \vdash_m \Gamma$  if  $\Gamma(d) = \Gamma$ , and  $dg(d) \leq m$ . Next we define the notion of *proper derivations* such that the operations  $\mathcal{D}_n$ , and  $\mathcal{S}_T^X$  have to be applied to only subderivations satisfying the conditions in Theorems 4, 5 respectively.

**Definition 8** *A derivation  $d$  in  $BI_1$  is called proper if*

1. for each subderivation  $D_0(h_0)$  of  $d$ ,  $dg(h_0) = 0$ , and  $\Gamma(h_0)$  is arithmetical,
2. for each subderivation  $Sub_T^X(h)$  of  $d$ ,  $h$  is of the form  $D_0(h_0)$ .

**Theorem 6** *Let  $d$  be a proper derivation of  $\Gamma$  in  $BI_1$ . Then  $g(d) \vdash_{dg(d)} \Gamma$ .*

## 5.2 Definition of $tp(d)$ , and $d[i]$

Now we can define  $tp(d)$ , and  $d[i]$  where  $i \in |tp(d)|^*$  for each proper derivation  $d \in BI_1$  such that

1.  $tp(d)$  is the last inference symbol of  $g(d)$ .
2.  $d[i]$  is also a proper derivation in  $BI_1$ .
3.  $g(d[i])$  is the  $i$ -th immediate subderivation of  $g(d)$ .

In fact the situation is more complicated because for  $d$  with  $tp(d) = \Omega$  or  $\tilde{\Omega}$  elements of the index set may be themselves derivations.

**Definition 9**  $|\forall X A|^*, |I|^*, g(q)$

We define  $|\forall X A|^*, |I|^*$  where  $I$  is an inference symbol of  $BI_1^\Omega$  and  $g(q)$  where  $q = (d, X) \in |\forall X A|^*$  as follows:

1.  $|\forall X A|^* := \{(d, X) | d \text{ is of the form } D_0(d') \text{ where } d \text{ is a proper derivation in } BI_1, X \notin FV(\Delta_{(d,X)}^{\forall X A(X)})\}$  with
  - (a)  $\Delta_{(d,X)}^{\forall X A(X)} = \Gamma(d) \setminus \{A(X)\}$ , and
  - (b)  $\Delta_{(d,X)}^{\forall X A(X)}$  is arithmetical.
2.  $|\Omega_{\neg \forall X}|^* := |\forall X A|^*$ .
3.  $|\tilde{\Omega}_{\neg \forall X}^X|^* := \{0\} \cup |\forall X A|^*$ .

4.  $|I|^* := |I|$  if  $I \neq \Omega_{\neg \forall X}$  or  $\tilde{\Omega}_{\neg \forall X}^X$ .
5.  $g(q) := (g(d), X)$  where  $q = (d, X) \in |\forall X A|^*$ .

**Definition 10**  $tp(d), d[i]$

By primitive recursion on  $d$ , we define  $tp(d) \in \text{BI}_1^\Omega$ , and derivations  $d[i]$  where  $i \in |tp(d)|^*$ . We assume that *separation of eigenvariables*: all eigenvariables in  $d$  are distinct and none of them occurs below the inference in which it is used as an eigenvariable.

1.  $d = \text{Ax}_\Delta : tp(d) := \text{Ax}_\Delta$ .
2.  $d = \bigwedge_{A_0 \wedge A_1} (d_0, d_1) : tp(d) := \bigwedge_{A_0 \wedge A_1}, d[i] := d_i$ .
3.  $d = \bigvee_{A_0 \vee A_1}^k (d_0) : tp(d) := \bigvee_{A_0 \vee A_1}^k, d[0] := d_0$ .
4.  $d = \bigwedge_{\forall x A} (d_i)_{i \in \omega} : tp(d) := \bigwedge_{\forall x A}, d[i] := d_i$ .
5.  $d = \bigvee_{\exists x A}^k (d_0) : tp(d) := \bigvee_{\exists x A}^k, d[0] := d_0$ .
6.  $d = \bigwedge_{\forall X A} (d_0) : tp(d) := \bigwedge_{\forall X A}, d[0] := d_0$ .
7.  $d = \bigvee_{\neg \forall X A(X)}^T (d_0) : tp(d) := \Omega_{\neg \forall X A}, d[(h, X)] := R_{A(T)}(\text{Sub}_T^X(h), d_0)$ .
8.  $d = \text{Cut}_A(d_0, d_1) : tp(d) := \text{Cut}_A, d[i] := d_i$ .
9.  $d = E(d_0) :$ 
  - (a)  $tp(d_0) = \text{Cut}_C : tp(d) := \text{Rep}, d[0] := R_C(E(d_0[0]), E(d_0[1]))$ .
  - (b) otherwise:  $tp(d) = tp(d_0), d[i] := E(d_0[i])$ .
10.  $d = E_\omega(d_0) :$ 
  - (a)  $tp(d_0) = \text{Cut}_C : tp(d) := \text{Rep}, d[0] := E^{n+1}(\text{Cut}_C(E_\omega(d_0[0]), E_\omega(d_0[1])))$   
where  $rk(C) = n$ , and  $E^{n+1}$  denotes  $n + 1$ -times applications of  $E$ -rule.
  - (b) otherwise:  $tp(d) := tp(d_0), d[i] := E_\omega(d_0[i])$ .
11.  $d = D_0(d_0) :$ 
  - (a)  $tp(d_0) = \tilde{\Omega}^Y : tp(d) := \text{Rep}, d[0] := D_0(d_0[(D_0(d_0[0]), Y)])$ .
  - (b) otherwise:  $tp(d) := tp(d_0), d[i] := D_0(d_0[i])$ .
12.  $d = \text{Sub}_T^X(d_0) : tp(d) := tp(d_0)[X/T], d[i] := \text{Sub}_T^X(d_0[i])$ .
13.  $d = R_A(d_0, d_1) :$

- (a)  $A \notin \Delta(tp(d_0)) : tp(d) := tp(d_0), d[i] := R_A(d_0[i], d_1).$
- (b)  $\neg A \notin \Delta(tp(d_1)) : tp(d) := tp(d_1), d[i] := R_A(d_0, d_1[i]).$
- (c)  $A \in \Delta(tp(d_0)),$  and  $\neg A \in \Delta(tp(d_1)) :$ 
  - i.  $tp(d_0) = Ax_\Delta : tp(d) := Rep,$  and  $d[0] := d_1.$
  - ii.  $tp(d_1) = Ax_\Delta : tp(d) := Rep,$  and  $d[0] := d_0.$
  - iii.  $A = A_0 \wedge A_1 : tp(d_0) = \bigwedge_{A_0 \wedge A_1},$  and  $tp(d_1) = \bigvee_{\neg A_0 \vee \neg A_1}^k$  for some  $k \in \{0, 1\}.$   $tp(d) := Cut_{A_k}, d[0] := R_A(d_0[k], d_1), d[1] := R_A(d_0, d_1[0]).$
  - iv.  $A = A_0 \vee A_1, \forall x A,$  or  $\exists x A :$  similarly to the case of  $A_0 \wedge A_1.$
  - v.  $A = \forall X A : tp(d_0) = \bigwedge_{\forall X A}^Y,$  and  $tp(d_1) = \Omega_{\neg \forall X A}.$   $tp(d) := \widetilde{\Omega}_{\neg \forall X A}^Y, d[0] := R_{\forall X A}(d_0[0], d_1), d[q] := R_{\forall X A}(d_0, d_1[q])$  for  $q \in |\forall X A|^*.$
  - vi.  $A = \exists X A :$  similarly to the case of  $\forall X A.$

**Theorem 7** *Assume that  $BI_1 \ni d \vdash_m \Gamma$  is a proper derivation, and  $i \in |tp(d)|^*.$  Then the following properties hold:*

1.  $d[i]$  is also a proper derivation in  $BI_1.$
2.  $d[i] \vdash_m \Gamma, \Delta_i(tp(d)).$
3.  $dg(d[i]) \leq dg(d).$
4. If  $tp(d) = Cut_A,$  then  $rk(A) < dg(d).$

### 5.3 Cut-elimination Theorem for $BI_1$

In this section, we explain our ideas of the cut-elimination theorem for  $BI_1.$  Let  $red$  be a suitable reduction relation between derivations in  $BI_1.$  Instead of defining  $red$  explicitly, we explain it using examples. Define  $|I(d_i)_{i \in |I|}| := \sup(|d_i| + 1)_{i \in |I|}.$  Then  $|d| < |d'|$  if  $d$  is a proper subderivation  $d'.$

**Lemma 1** *Assume that  $d = E(Cut_C(d_0, d_1)),$  and  $r(d) = R_C(E(d_0), E(d_1)).$  Then  $|g(d)| > |g(r(d))|.$*

**Proof.**  $g(r(d)) = \mathcal{R}_C(\mathcal{E}(g(d_0)), \mathcal{E}(g(d_1))).$  On the other hand  $g(d) = g(E(Cut_C(d_0, d_1))) = \mathcal{E}(Cut_C(g(d_0), g(d_1))) = Rep(R_C(\mathcal{E}(g(d_0)), \mathcal{E}(g(d_1))))$  (note that  $g$  preserves  $Cut_C$ ). Therefore  $|g(d)| > |g(r(d))|.$   $\square$

Next we see  $|g(d)| > |g(r(d))|$  in the case of axiom-reduction.

**Lemma 2** Assume that  $d = R_C(d_0, d_1)$ ,  $d_0$  is an axiom  $C, \neg C$ , and  $r(d) = d_1$ . Then  $|g(d)| > |g(r(d))|$ .

**Proof.**

$$g(R_C(d_0, d_1)) = \mathcal{R}_C(g(d_0), g(d_1)) = \mathcal{R}_C(\text{Ax}_{C, \neg C}, g(d_1)) = \text{Rep}(g(d_1)).$$

Therefore  $|g(d)| > |g(r(d))|$ .  $\square$

**Lemma 3** Assume that  $d = E(R_{C_0 \wedge C_1}(\bigwedge_{C_0 \wedge C_1}(d_{000}, d_{001}), \bigvee_{\neg C_0 \vee \neg C_1}^k(d_{010})))$ , and  $r(d) = R_{C_k}(E(R_C(d_{00k}, d_{01})), E(R_C(d_{00}, d_{010})))$ . Then  $|g(d)| > |g(r(d))|$ .

**Proof.**

$$\begin{aligned} & g(E(R_C(\bigwedge_{C_0 \wedge C_1}(d_{000}, d_{001}), \bigvee_{\neg C_0 \vee \neg C_1}^k(d_{010})))) \\ &= \mathcal{E}(\mathcal{R}_C(\bigwedge_{C_0 \wedge C_1}(g(d_{000}), g(d_{001})), \bigvee_{\neg C_0 \vee \neg C_1}^k(g(d_{010})))) \\ &= \mathcal{E}(\text{Cut}_{C_k}(\mathcal{R}_C(g(d_{00k}), g(d_{01})), \mathcal{R}_C(g(d_{00}), g(d_{010})))) \\ &= \text{Rep}(\mathcal{R}_{C_k}(\mathcal{E}(\mathcal{R}_C(g(d_{00k}), g(d_{01}))), \mathcal{E}(\mathcal{R}_C(g(d_{00}), g(d_{010}))))). \end{aligned}$$

On the other hand,  $g(r(d)) = \mathcal{R}_{C_k}(\mathcal{E}(\mathcal{R}_C(g(d_{00k}), g(d_{01}))), \mathcal{E}(\mathcal{R}_C(g(d_{00}), g(d_{010}))))$ .

Therefore  $|g(d)| > |g(r(d))|$ .  $\square$

**Lemma 4** Assume that  $d = E^{m+1}(R_C(\bigwedge_{\forall X C_0(X)}(d_{000}), \bigvee_{\exists X \neg C_0(X)}^T(d_{010})))$ , and  $E^{m+1}(R_C(\bigwedge_{\forall X C_0(X)}(d_{000}), R_{C_0(T)}(\text{Sub}_T^X(d_{01q}), g(d_{010}))))$ . Then  $|g(d)| > |g(r(d))|$ .

**Proof.**

According to the definition of  $g$ ,

$$\begin{aligned} & g(E^{m+1}(R_C(\bigwedge_{\forall X C_0(X)}(d_{000}), \bigvee_{\exists X \neg C_0(X)}^T(d_{010})))) \\ &= \mathcal{E}^{m+1}(\mathcal{R}_C(\bigwedge_{\forall X C_0(X)}(g(d_{000})), \Omega(\mathcal{R}_{C_0(T)}(\mathcal{S}_T^X(d_{01q}), g(d_{010})))_{q \in |\forall X A(X)|})) \\ &= \tilde{\Omega}(\mathcal{E}^{m+1}(\mathcal{R}_C(g(d_{000}), g(d_{01}))), \mathcal{E}^{m+1}(\mathcal{R}_C(\bigwedge_{\forall X C_0(X)}(g(d_{000})), \mathcal{R}_{C_0(T)}(\mathcal{S}_T^X(d_{01q}), g(d_{010}))))_{q}). \end{aligned}$$

On the other hand,

$$\begin{aligned} & g(r(d)) \\ &= \mathcal{E}^{m+1}(\mathcal{R}_C(\bigwedge_{\forall X C_0(X)}(g(d_{000})), \mathcal{R}_{C_0(T)}(\mathcal{S}_T^X(\mathcal{D}_0(\mathcal{E}^{m+1}(\mathcal{R}_C(g(d_{000}), g(d_{01})))), g(d_{010}))))). \end{aligned}$$

with  $\mathcal{D}_0(\mathcal{E}^{m+1}(\mathcal{R}_C(g(d_{000}), g(d_{01})))) \in |\forall X C_0(X)|$ . Therefore  $|g(d)| > |g(r(d))|$ .  $\square$

**Remark 5** Using  $\Omega$  or  $\tilde{\Omega}$ -rule, we can list up *all* possible cuts in the cut-elimination process. Lemma 4 shows that the result of Takeuti's reduction is one of such cuts.

From these lemmas, we can see the following diagram in the essential reductions which we have considered:

$$\begin{array}{ccc} d & \xrightarrow{red} & r(d) \\ g \downarrow & & g \downarrow \\ g(d) & \xrightarrow{>} & g(r(d)) \end{array}$$

where  $g(r(d))$  is a subderivation of  $g(d)$ . A derivation  $d$  in  $BI_1$  is *cut-free* if  $d$  does not contain  $Cut_A, R_A$ . Therefore we can prove the cut-elimination theorem for  $BI_1$  by transfinite induction on the height of  $g(d)$ .

**Theorem 8** *Let  $d$  be a proper derivation of  $\Gamma$  in  $BI_1$  such that  $\Gamma$  is arithmetical, and  $dg(d) = 0$ . Then there exists a cut-free derivation  $d'$  of the same sequent  $\Gamma$ .*

**Corollary 2** *Let  $d$  be a proper derivation of  $\Gamma$  in  $BI_1$  such that  $\Gamma$  is arithmetical. Then there exists a cut-free derivation  $d'$  of the same sequent  $\Gamma$ .*

A derivation  $d$  in  $BI_1^-$  is *cut-free* if  $d$  does not contain  $Cut_A$ . Then we can prove the following corollary.

**Corollary 3** *Let  $d$  be a derivation of  $\Gamma$  in  $BI_1^-$  such that  $\Gamma$  is arithmetical. Then there exists a cut-free derivation  $d'$  in  $BI_1^-$  of the same sequent  $\Gamma$ .*

**Remark 6** The full version of this paper is [Aki08]. Our proof can be extended into the full  $\Pi_1^1$ -CA [AM08].

## 参考文献

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